

# Pragya Securities Private Limited

MEMBER :- BSE / NSE / DP - CDSL

CIN : U67120MH1994PTC078185

GST No.: 27AAACP3247K1ZB



REGD. OFF.: 227/228, KAILAS PLAZA, 2ND FLOOR, VALLABH BAUG LANE, GHATKOPAR (E), MUMBAI - 400075

PHONE : 6728 3333 • E-mail : admin@psplonline.com

## Investor Complaints Data

Name of the Stock Broker :- PRAGYA SECURITIES PVT LTD

SEBI Stock Broker- Registration Number :- INZ000172539

Data for the month ending –MAY -2026

| Sr. No | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved * | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|--------|-------------------------|-------------------------------------|---------------------------|---------------|------------|-----------------------------------|--------------------------------|------------------------------------|
|        |                         |                                     |                           |               |            | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1      | 2                       | 3                                   | 4                         | 5             | 6          | 7                                 |                                | 8                                  |
| 1      | Directly From Investors | NIL                                 | NIL                       | NIL           | NIL        | NIL                               |                                | NIL                                |
| 2      | SEBI (SCORES)           | NIL                                 | NIL                       | NIL           | NIL        | NIL                               |                                | NIL                                |
| 3      | Stock Exchanges         | NIL                                 | NIL                       | NIL           | NIL        | NIL                               |                                | NIL                                |
| 4      | Other Sources           | NIL                                 | NIL                       | NIL           | NIL        | NIL                               |                                | NIL                                |
| 5      | Grand Total             | NIL                                 | NIL                       | NIL           | NIL        | NIL                               |                                | NIL                                |

Trend of monthly disposal of complaints for the Financial Year – 2026-2027: -

| Sr. No. | Month       | Carried forward from previous month | Received | Resolved* | Pending** |
|---------|-------------|-------------------------------------|----------|-----------|-----------|
| 1       | 2           | 3                                   | 4        | 5         | 6         |
| 1       | April- 2026 | NIL                                 | NIL      | NIL       | NIL       |
| 2       | May - 2026  | NIL                                 | NIL      | NIL       | NIL       |

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\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

## Trend of annual disposal of complaints: -

| Sr. No | Year    | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|---------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1      | 2021-22 | NIL                                | NIL                      | NIL                      | NIL                            |
| 2      | 2022-23 | NIL                                | NIL                      | NIL                      | NIL                            |
| 3      | 2023-24 | NIL                                | NIL                      | NIL                      | NIL                            |
| 4      | 2024-25 | NIL                                | NIL                      | NIL                      | NIL                            |
| 5      | 2025-26 | NIL                                | 2                        | 2                        | NIL                            |
| 6      | 2026-27 | NIL                                | NIL                      | NIL                      | NIL                            |

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## Investor Complaints Data

Name of the Depository Participant :- PRAGYA SECURITIES PVT LTD

SEBI DP -Registration Number :- IN-DP-742-2023

### Data for the month ending –MAY-2026

| Sr. No. | Received from               | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|---------|-----------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|         |                             |                                     |                           |               |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1       | 2                           | 3                                   | 4                         | 5             | 6         | 7                                 |                                | 8                                  |
| 1       | Directly From the Investors | NIL                                 | NIL                       | NIL           | NIL       | NIL                               |                                | NIL                                |
| 2       | SEBI (SCORES)               | NIL                                 | NIL                       | NIL           | NIL       | NIL                               |                                | NIL                                |
| 3       | Depositories                | NIL                                 | NIL                       | NIL           | NIL       | NIL                               |                                | NIL                                |
| 4       | Other sources (if any)      | NIL                                 | NIL                       | NIL           | NIL       | NIL                               |                                | NIL                                |
| 5       | Grand Total                 | NIL                                 | NIL                       | NIL           | NIL       | NIL                               |                                | NIL                                |

### Trend of monthly disposal of complaints for the Financial Year – 2026-2027: -

| Sr. No | Month        | Carried forward from previous month | Received | Resolved* | Pending** |
|--------|--------------|-------------------------------------|----------|-----------|-----------|
| 1      | 2            | 3                                   | 4        | 5         | 6         |
| 1      | April - 2026 | NIL                                 | NIL      | NIL       | NIL       |
| 2      | May - 2026   | NIL                                 | NIL      | NIL       | NIL       |

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^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

## Trend of annual disposal of complaints

| Sr. No. | Year    | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|---------|---------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1       | 2021-22 | NIL                                | NIL                      | NIL                      | NIL                            |
| 2       | 2022-23 | NIL                                | 1                        | 1                        | NIL                            |
| 3       | 2023-24 | NIL                                | 1                        | 1                        | NIL                            |
| 4       | 2024-25 | NIL                                | 1                        | 1                        | NIL                            |
| 5       | 2025-26 | NIL                                | NIL                      | NIL                      | NIL                            |
| 6       | 2026-27 | NIL                                | NIL                      | NIL                      | NIL                            |